

# **SECURITIES LAWS AND INVESTORS' PROTECTION IN NEPAL**

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Faculty of Management

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In the partial fulfillment of the requirements for the Degree of  
**Masters of Business Studies (MBS)**

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# RECOMMENDATION

This is to certify that the thesis

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**SECURITIES LAWS AND INVESTORS' PROTECTION IN NEPAL**

has been prepared as approved by this Campus/Department in the prescribed format of the Faculty of Management. This thesis is forwarded for examination.

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and found the thesis to be the original work of the student and written according to the prescribed format. We recommend the thesis to be accepted as partial fulfillment of the requirements for the degree of

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## **Faculty of Management**

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#### **DECLARATION**

I hereby declare that this thesis entitled "**SECURITIES LAWS AND INVESTORS' PROTECTION IN NEPAL**" submitted to the Office of Dean, Faculty of Management, Tribhuvan University, is my original work done in the form of partial fulfillment of requirements for the degree of Masters of Business Studies (MBS). This report is conducted under strict supervision and guidance of Prof. Mrs. Snehalata Kafle and Tech. Assistant Mr. Pitri Raj Adhikari of Sanker Dev Campus.

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## **ABBREVIATIONS**

<b>AGM</b>	Annual General Meeting
<b>AMEX</b>	American Stock Exchange
<b>CRO</b>	Company Registrar's Office
<b>CCMC</b>	Central Coordination and Monitoring Committee
<b>FDI</b>	Foreign Direct Investment
<b>IPO</b>	Initial Public Offering
<b>NASDAQ</b>	National Association of Securities Dealers Automated Quotation
<b>NEFISCO</b>	Nepal Finance Company
<b>NEPSE</b>	Nepal Stock Exchange
<b>NIDC</b>	Nepal Industrial Development Corporation
<b>NMB</b>	Nepal Merchant Bank
<b>NYSE</b>	New York Stock Exchange
<b>OCR</b>	Office of Company Registrar
<b>OTC</b>	Over-The-Counter
<b>SAN</b>	Shareholders Association of Nepal
<b>SEA</b>	Security Exchange Act
<b>SEBI</b>	Security Board of India
<b>SEBO</b>	Securities Board
<b>SEBO/N</b>	Securities Board Nepal
<b>SEC</b>	Securities Exchange Center
<b>SMC</b>	Securities Marketing Centre
<b>VIP</b>	Vigilant, Intelligent and Professional