A STUDY ON BROKERING SERVICES IN NEPSE

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A Thesis Submitted to:
Office of the Dean
Faculty of Management
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In partial fulfillment of the requirements for the Degree of
Master of Business Studies (M.B.S.)

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RECOMMENDATION

This is to Certify that the Thesis

Submitted by:

PRAMILA GAUTAM

Entitled

"A Study on Brokering Services in NEPSE"

has been prepared as approved by this Department in the prescribed format of faculty of Management. This Thesis is forwarded for examination.

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Ass.Prof. Devendra Pal Shrestha

(Thesis Supervisior)

Date:- ....

VIVA-VOCE SHEET

We have conducted the viva-voce examination of the thesis presented

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Pramila Gautam

Entitled:

"A Study on Brokering Services in NEPSE"

And found the thesis to be original work of the student written according to the prescribed format. We recommend the thesis to be accepted as partial fulfillment of the requirements of the degree of ***Master of Business Studies (MBS)***.

Viva-Voce Committee:

Head of Research Department	…………………………………………….
Member (External Expert)	..…………………………………….
Member	…………………………………………….
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Date: ....

DECLARATION

I here by declare that the work reported in this thesis entitled "**A Study on Brokering Services in NEPSE**" submitted to Saraswati Multiple Campus, Faculty of Management, Tribhuvan University, is my original work done in the form of partial fulfillment of the requirement for the degree of Master of Business Study (M.B.S.) under the supervision of *Associate Professor* **Devendra Pal Shrestha** of Saraswati Multiple Campus.

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ACKNOWLEDGEMENT

This research study is conducted on the topic "**A Study on Brokering Services in NEPSE"** as a partial fulfillment of the requirement of the degree of Master of Business Studies (MBS) from Tribhuvan University. The main contribution of this study lies in explaining and exploring the facts prevailing in the present situation at NEPSE relating to Brokering Services. In addition, this study will enhance the existing investor's knowledge and gives framework for the new investors. Furthermore, it helps the stakeholders, mainly, the investors who are interested in Nepalese Capital Market.

For the valuable guidance throughout the study period, firstly, I express my gratitude towards my respected supervisor *Associate Professor* **Devendra Pal Shrestha** of the Saraswati Multiple Campus. This study could not be possible in time without his profound suggestions and motivation. I would like to thank Mr.Kesav Raj Pantha Associate Prof. a Chairman Research Committe for his cooperation and motivation. I also thank Mr. Basu Dev Pandey, IT Officer of Nepal Stock Exchange and other staffs of NEPSE for their kind cooperation in providing the necessary data and information. I am also grateful to the Staffs of libraries of Sarsawati Multiple Campus and Nepal Telecom for their cooperation in providing required study materials and information.

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ABBREVIATION

IPO	= Initial Public Offering
MOF	= Ministry of Finance
N/G	= Nepal Government
NBL	= Nepal Bank Limited
NEPSE	= Nepal Stock Exchange
NIDC	= Nepal Industrial Development Corporation
NRB	= Nepal Rastra Bank
SEBO/N	= Securities Board of Nepal
SEC	= Securities Exchange Center