

# **BROKERING SERVICES IN NEPSE: A STUDY**

*Submitted By:*

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## RECOMMENDATION

This is to Certify that the Thesis

Submitted by:

**Shreedhar Kandel**

Entitled

**“Brokering Services in NEPSE: A Study”**

has been prepared as approved by this Department in the prescribed format of faculty of Management. This Thesis is forwarded for examination.

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# VIVA-VOCE SHEET

We have conducted the viva-voce examination of the thesis presented

By:

**Shreedhar Kandel**

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**"Brokering Services in NEPSE: A Study"**

*And found the thesis to be original work of the student written according to the prescribed format. We recommend the thesis to be accepted as partial fulfillment of the requirements of the degree of **Master of Business Studies (MBS)**.*

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## DECLARATION

I here by declare that the work reported in this thesis entitled “BROKERING SERVICES IN NEPSE: A STUDY” submitted to Shanker Dev Campus, Faculty of Management, Tribhuvan University, is my original work done in the form of partial fulfillment of the requirement for the degree of Master of Business Study (M.B.S.) under the supervision of *Associate Professor Ruchila Pande* of Shanker Dev Campus.

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## **ACKNOWLEDGEMENT**

This research study is conducted on the topic "**Brokering Services in NEPSE: A Study**" as a partial fulfillment of the requirement of the degree of Master of Business Studies (MBS) from Tribhuvan University. The main contribution of this study lies in explaining and exploring the facts prevailing in the present situation at NEPSE relating to Brokering Services. In addition, this study will enhance the existing investor's knowledge and gives framework for the new investors. Furthermore, it helps the stakeholders, mainly, the investors who are interested in Nepalese Capital Market.

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## **ABBREVIATION**

IPO	= Initial Public Offering
MOF	= Ministry of Finance
N/G	= Nepal Government
NBL	= Nepal Bank Limited
NEPSE	= Nepal Stock Exchange
NIDC	= Nepal Industrial Development Corporation
NRB	= Nepal Rastra Bank
SEBO/N	= Securities Board of Nepal
SEC	= Securities Exchange Center