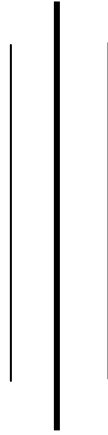


STATUS OF GOVERNANCE IN LOCAL BODIES:

(A Comparative Study of Bhirkot and Boch VDC of Dolakha District)



A

Thesis Submitted to

The Department of Sociology/Anthropology

Patan Multiple Campus, Trivuvan University

In the Partial Fulfillment of the Requirement for

Master of Arts

In

Sociology



Submitted by:

Dipesh Kumar Ghimire

(2063)

TRIBHUVAN UNIVERSITY
Department of Sociology/Anthropology
Patan Multiple Campus
Patandhoka, Lalitpur

LETTER OF RECOMMENDATION

This dissertation entitled ‘**Status of Governance in Local Bodies: A Comparative Study of Bhirkot and Boch VDC of Dolakha**’ has been prepared by **Mr. Dipesh Kumar Ghimire** under my supervision and guidance for partial fulfillment of the requirements for the degree of Master of Arts in Sociology. I forward it for approval.

.....

Hari Prasad Bhattarai
Associate Professor
Department of Sociology/ Anthropology
Patan Multiple Campus
Date:

TRIBHUVAN UNIVERSITY

Department of Sociology/Anthropology

Patan Multiple Campus

Patandhoka, Lalitpur

LETTER OF APPROVAL

The evaluation committee has accepted this dissertation entitled '**STATUS OF GOVERNANCE IN LOCAL BODIES: A Comparative Study of Bhirkot and Boch VDC of Dolakha**' submitted by **Dipesh Kumar Ghimire** for the partial fulfillment of the requirement for the Master's Degree in Anthropology.

Evaluation Committee

Hari Prasad Bhattarai
Supervisor

.....

.....
Ram Bahadur K.C.
External Examiner

.....

.....
Dr. Gyanu Chhetri
Head of the department

.....

Date:.....

ACKNOWLEDGEMENT

I would like to express my sincere gratitude and the highest appreciation to my supervisor, Mr. Hari Prasad Bhattarai, associate professor, Department of Sociology and Anthropology, Patan Multiple Campus, Lalitpur, for his excellent guidance and motivating supervision. It is because of his constant encouragement, guidance, and useful suggestions that I am able to complete this dissertation. I would also like to extend my special gratitude to the members of the dissertation committee Dr. Gyanu Chhetri and Mr. Ram Bahadur KC for their cooperation for the finalization, approval and forwarding this dissertation.

I would like to extend my special thanks and heartfelt appreciation to Boch VDC Secretary Mr. Shayam Krishna Thapa and Bhirkot VDC Secretary Mr. Laxman Guragain. I cannot remain without thanking all the people of the Boch and Bhirkot VDC who were the ones that generously provided most of the primary information required for the study. I am also equally thankful and indebted to the staff of the Good Governance Project, Pro Public, Dolakha who cooperated a lot during my field work on good governance assessment.

Similarly, I would like to express my thankfulness to the generous circle of colleagues in Pro Public Mr. Kedar Khadka, Mr. Pranav Bhattarai, Mr. Manoj Rijal, and Mrs. Rekha Shrestha, and my beloved Ms. Shanti Satyal, who encouraged, motivated and supported me during this dissertation work.

Last but not the least, I would like to extend my cordial appreciation and highest recognition to my family members and relatives for their love, patience, and inspirations as well as their moral, material, spiritual and other support to make this dissertation work a success.

In the same line, I would like to express my gratitude to the generous circle of my friends, Shree, Komal, Rup, Sarala, pramila, Narad, Hari and Jaya whose help proved to be extremely instrumental.

Dipesh Kumar Ghimire

Patan Multiple Campus, Lalitpur

Table of Contents

Recommendation Letter	II
Letter of Approval.....	III
Acknowledgements.....	IV
Table of Contents	V
List of Table.....	VII
Chapter: 1 Introduction.....	1
1.1 Background of the Study	1
1.2 Statement of the Problem	2
1.3 Objectives of the Study	4
1.4 Rationale of the Study	4
Chapter: 2 Review of Literature and Conceptual Framework	5
2.1 The Concept of Good Governance.....	5
2.1.1 UN Version	5
2.1.2 World Bank Version	8
2.2 Governance	10
2.3 Good Governance	12
2.4 Characteristics of Good Governance.....	15
2.5 Common Elements of Good Governance	17
2.6 Bureaucracy and Governance	18
2.7 Definitions of Key Terminologies	21
2.7.1 Accountability	21
2.7.2 Participation.....	22
2.7.3 Transparency	22
2.8 The Sociology of Governance	22
2.9 Good Governance: Vision and Reality of Nepalese Context	26
2.10 Importance of Good Governance in Sociology.....	28
2.2 Conceptual Framework of the study	29
Chapter: 3 Research Methods	30
3.1 Research Design.....	30
3.2 Study Area and Rationale for Selection	30
3.3 Universe and Sampling	31
3.4 Type of Data	33
3.4.1 Nature of Data	33
3.4.2 Sources of Data.....	34
3.4.2a Primary Data.....	34
3.4.2b Secondary Data.....	34
3.5 Data Collection Techniques and Tools.....	34
3.5.1 The Household Survey.....	34
3.5.2 Key Informant Interview	34
3.5.3 Observation	35
3.5.4 Interview	35

3.6 Reliability and Validity	35
3.7 Data Processing, Analysis, Interpretation and Reporting	36
3.8 Limitations of the Study	36
3.9 Ethical Consideration	36
Chapter: 4 Local Governance: Exercise and Experiences in Nepal.....	37
4.1 Conceptual Framework	37
4.2 Historical Perspective	37
4.3 Policy Context.....	38
4.3.1 Constitution	39
4.3.2 Local Self Governance Act, 1999.....	39
4.3.3 Others	40
4.4 Local Self-Governance System.....	40
4.5 Key Achievements	42
4.6 Gaps and Challenges	43
Chapter: 5 Participation	45
5.0 People's Participation in Development	45
5.1 Participation in Need Identification Stage.....	45
5.1.1 People's Participation in Need Identification Stage by Ethnicity	45
5.1.2 People's Participation in Need Identification Stage by Gender	47
5.2 People's Participation in Benefit Sharing by Ethnicity	48
5.3 People's Participation in Monitoring and Evaluation by Ethnicity	50
5.4 People's Participation in Monitoring and Evaluation by Gender	52
Chapter: 6 Transparency	53
6.1 Transparency Situation of VDC.....	53
6.2 Accessibility of People unto VDC Activities	55
Chapter: Accountability	57
7.0 Accountability	57
7.1 Response of VDC Staff to the General Public in Service Delivery	57
7.2 Promptness of VDC Staff in Service Delivery	58
7.3 Comparison between Two Situations.....	59
Chapter: 8 Summary, Conclusion and Recommendations.....	61
8.1 Summary.....	61
8.1.1 People's Participation.....	61
8.1.2 Situation of Transparency in VDCs.....	62
8.1.3 Accountability of VDCs.....	62
8.2 Conclusion	63
8.3 Recommendations for Further Researches	64
References.....	66
Appendix	69

List of Tables

Table 3.1:	Demographic Features of the Study VDC	32
Table 3.2:	Coverage of Sample by Ethnicity, Gender and Educational Status	33
Table 5.1.1:	People's Participation in Need Identification Stage by Ethnicity	46
Table 5.1.2:	People's Participation in Need Identification by Gender	47
Table 5.2:	People's Participation in Benefit Sharing by Ethnicity	49
Table 5.3:	People's Participation in Monitoring and Evaluation by Ethnicity	51
Table 5.4:	People's Participation in Monitoring and Evaluation by Gender	52
Table 6.1.1:	People's Knowledge and information about VDC Budget	53
Table 6.1.2:	Information About Budget of the VDC (Gender)	54
Table 6.2:	Accessibility of Public unto Information VDC Activities	55
Table 7.1:	Response of VDC Staff to the General Public in Service Delivery	58
Table 7.2:	Service Providing by VDC Staff	59
Table 7.3:	Comparison between Two Situation	60