

# **BROKERING SERVICES IN NEPAL STOCK EXCHANGE**

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*In partial fulfillment of the requirement for the Degree of  
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## **RECOMMENDATION**

This is to certify that the Thesis

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**BROKERING SERVICES IN NEPAL STOCK EXCHANGE**

*has been prepared as approved by this Department in the prescribed format of the Faculty of Management. This thesis is forwarded for examination.*

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# VIVA-VOCE SHEET

We have conducted the viva –voce of the thesis presented

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*and found the thesis to be the original work of the student and written according to the prescribed format. We recommend the thesis to be accepted as partial fulfillment of the requirement for the Degree of*  
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## **DECLARATION**

I hereby declare that the work reported in this thesis entitled **“BROKERING SERVICES IN NEPAL STOCK EXCHANGE”** submitted to Office of the Dean, Faculty of Management, Tribhuvan University, is my original work done in the form of partial fulfillment of the requirement for the Degree of Master of Business Studies (MBS) under the supervision of **Rishi Raj Gautam** of Shanker Dev Campus.

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**Rupa Lamsal**  
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## **ABBREVIATIONS**

C.V.	:	Coefficient of Variation
CCP	:	Central Counter Party
CDS	:	Central Depository System
CSS	:	Clearing and Settlement System
DRIP	:	Dividend Reinvestment Plan
EMS	:	Execution Management System
IT	:	Information Technology
NATS	:	NEPSE Automated Trading System
NEPSE	:	Nepal Stock Exchange
No.	:	Number
NPAT	:	Net Profit after Tax
OTC	:	Over the Counter
S.D.	:	Standard Deviation
SEBON	:	Securities Board of Nepal