# SUBSCRIPTION OF CORPORATE SECURITIES IN INITIAL PUBLIC OFFERING IN NEPAL

A Thesis

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Submitted to
Office of the Dean
Faculty of Management
Tribhuvan University

In partial fulfillment of the requirement for the degree of Masters of Business Studies (M.B.S.)

> Kathmandu April, 2009

**DECLARATION** 

I here by declare that the work reported in this thesis entitled "Subscription of

Corporate Securities in Initial Public Offering in Nepal" submitted to Shankar Dev

Campus, faculty of Management, Tribhuvan University, is my original work done in the

form of partial fulfillment of the requirement for the Master's Degree in Business

Studies under the supervision of Dr. Geeta Pradhan, Associate Professor and Mr.

Govinda Bahadur Thapa, Lecturer of Shanker Dev Campus.

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## **RECOMMENDATION**

This is to certify that the Thesis

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## Subscription of Corporate Securities in Initial Public Offerings in Nepal

has been prepared as approved by this Department in the prescribed format of Faculty of Management.

This Thesis is forwarded for examination.

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## **VIVA VOCE SHEET**

We have conducted the viva-voce examination of the thesis

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## Subscription of Corporate Securities in Initial Public Offerings in Nepal

and found the Thesis to be the original work of the student and written according to the prescribed format. We recommend the thesis to be accepted as partial fulfillment of the requirement for degree of

**Master of Business Studies (M.B.S.)** 

### **VIVA-VOCE COMMITTEE**

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Researcher

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### LIST OF ABBREVIATINS

AD : Amino Domini

AOA : Article of Association

AGM : Annual General Meeting

BFI : Bank and Financial Institutions

BOKL : Bank of Kathmandu Limited

BS : Bikram Sambat

BOD : Board of Directors

CEO : Chief Executive Officer

CFG : Corporate and Finance Governance

CIT : Citizen Investment Trust

Co. : Company

CRO : Company Registrar's Office

DDBL : Deprox Development Bank Limited

FFCL : Fewa Finance Company Limited

FY : Fiscal Year

GDP : Gross Domestic Product

HDL : Himalayan Distillery Limited

IPO : Initial Public Offering

KBL: Kumari Bank Limited

LBL : Lumbini Bank Limited

Ltd : Limited

m : Million

MOU : Memorandum of Understanding

NUBL: Nirdhan Utthan Bank Limited

NCC : Nepal Credit and Commerce

NRB : Nepal Rastra Bank

NLIC : Nepal Life Insurance Company

NEPSE : Nepal Stock Exchange

PICL : Prudential Insurance Company Limited

Pvt. : Private

Rs. : Rupees (Nepalese currency unit)

SEBON : Securities Board, Nepal

SFCL : Samjhana Finance Company Limited

SFL : Standard Finance Limited
SBL : Siddhartha Bank Limited

SEC : Securities Exchange Center

SICL : Sagarmatha Insurance Company Limited

TU : Tribhuwan University

UFCL : United Finance Company Limited

UNL : Unilever Nepal Limited.

US : United States

YFC : Yeti Finance Company