LOCAL DEVELOPMENT PLANNING PROCESS IN NEPAL

(A Study on Formulation and Implementation of Annual District Development Plan of Bajura District)

A Thesis Submitted to the Partial Fulfillment of the Requirements for the Award the Degree of Master of Arts in Rural Development

> Submitted By: RAM BAHADUR RAWAT Roll no. 2616 TU. Regd. No. 6-1-59-88-99 Central Department of Rural Development Faculty of Humanities and Social Sciences Tribhuvan University Kathmandu, Nepal July, 2010

RECOMMENDATION LETTER

I hereby certify that the Thesis entitled "Local Development Planning Process in Nepal: A study on Formulation and Implementation of Annual District Development Plan of Bajura District" Submitted by Mr. Ram Bahadur Rawat to the Central Department of Rural Department Tribhuvan University, Kathmandu Nepal in partial fulfillment of the requirements for the Degree of Master of Arts in Rural Development is carried out under my guidance and supervision. No part of this thesis has been submitted for any Degree and I recommend this final evaluation.

> Supervisor Dr. Prem Sharma

Date: 2067/04/07

APPROVAL LETTER

This is to certify that the thesis entitled "Local Development Planning Process in Nepal: A study on Formulation and Implementation of Annual District Development Plan of Bajura District" written and submitted by Mr. Ram Bahadur Rawat has been examined. It has been declared successful for fulfillment of the academic requirements toward the completion of Master of Arts in Rural Development.

Evaluation Committee

.....

•••••

External Examiner Dr. Umakant Silwal

Supervisor Dr. Prem Sharma

Prof. Dr. Pradeep Kumar Khadka Head

ACKNOWLEDGEMENTS

"Local Development Planning Process in Nepal: A Study on Formulation and Implementation of Annual District Development Plan of Bajura District" is a study which has been in this from by the help of different intellectual planners and organizations.

First of all, I would like to express my immense pleasure and sincere gratitude to the Department of Rural Development, Kirtipur for providing me with the opportunities and facilities for the completion of the Thesis. I am grateful to Dr. Prem Sharma, Central Department of Rural Development for his every time energetic guidance and supervision for this study complete.

Similarly I would like to think the Department of head, Prof. Dr. Pradeep Kumar Kadka, the faculty members and the staffs of the Central Department of Rural Development, University Campus for their cooperation.

I would like keep in mind to my appreciation to DDC Bajura which gave me the ground information about the local development planning during the field work. Similarly acknowledgements go to NGOCC of Bajura, BCCI, DDC official, DDC ex-members, line agencies staffs, NGOs staffs, private sectors, college and school teachers, other officials, local level planners and planning experts in including civil society members who have gave me their valuable time information and their kindness during the field survey.

I would like keep in mind to District Development Committee (DDC) Bajura, Association of District Development Committee of Nepal (ADDCN), and Tribhuvan University Central Library (TUCL), provided enquired materials and allowed me support their library.

I extend my sincere respect to my father Mr. Jay Bahadur Rawat , mother Dauma Devi Rawat and other family members who always encouraged me, delightful for this study.

At least but not least, I would like to express a grate application to my sister in-law Mr. Dev Rokaya Active Computer Tanning Centre, Martadi, Bajura for his uncountable support in computer designing.

I am not least thankful to all those known/unknown individuals and organizations that helped me in completion of this study. Without their support this work would not have been the same as it is today.

Finally, the responsibility of arrangement of facts, figures and analysis of the subject matter upon me. I am also faithful to accept the shortcomings and take correctives measures in facts.

Ram Bahadur Rawat

July, 2010

ABSTRACT

The overall development system of nation depends upon the decentralization development planning in Nepal. The local development is grass root development plan and District Development plan is a bridge between grassroots plan and national development plan. District development plan seem to be theoretically decentralized plan considered one of the prime move for grassroots level development in Nepal. The backward society couldn't participation in real planning process. The legal producers in the case of Nepal are not inadequate especially in the theory and practice in decentralized participatory planning process. Thus this study report tries to review the practices, problems, prospects and coordination mechanism in formulation and implementation participatory development planning process based on Bajura District.

The objectives of this study is to study the local development planning process in DDC of Bajura District, to study the involvement of non-governmental organization and private sector in participatory planning process of DDC, to examine the existing practices, to analyze the policy related issues to the planning process in DDC, to study the involvement and role of INGOs, NGO, donor agencies and private in participatory planning process.

It is a mainly for academic propose. For this study the literature review has been done under two categories, the conceptual review and review of empirical study. For this study different books, journals, previous research work, reports plans polices, acts, articles and newspapers etc. related to this study has been reviews. It reviews the complex system governmental and quasi- governmental relations at local level in Nepal. It embraces an assessment of the appropriateness of the existing institutional and financial arrangement for promoting local self-governance, self reliance and people participation in accordance with announced government policies. The study has been limited in only one local development planning and on only one Bajura district.

This study has been foundation based on field survey, it studies the primary and secondary sources information also has been used form appropriate sources. Local NGOs staffs, line agencies staffs, DDC officials, key informants, members of civil society, political party, local development planners, and other intellectual interviewee's structured, unstructured and open ended questionnaire have been used in collected primary sources of field survey. Similarly many reverent secondary sources of information from library related offices, research cents, and websites have been used during secondary information collection.

The study area has been selected through theoretically the study area is enclosed under the framework of participatory planning process of local development, physically the study area has been selected in Bajura district, the Headquarter of "Martadi" is around 120 Km far from Dipayal (Headhunter of far western Development region). It covers the area of 2188 square Kms with population 108781. It has only one electoral constituency, 9 Ilakas, and encompasses 27 VDCs. Achhham and Kalikot district is in south, Humla is in North, Mugu and Kalikot is in east side and Bajhang is in west neighboring districts of this district. Participatory local development panning requires the broad participation of each development stakeholders in plan formulating and implementing. The local development planning without popular participation results the unsustainable development even hampers the practice of decentralization. The broad participation of responsible local development stockholders, local bodies, and district based line agencies, local and national NGOs, donor agencies, private sector and civil society and political parties. Their involvement in common form common agenda local development planning could be possible through good coordination among them at local level. During the field survey interaction with DDC ex members, DDC officials, NGOs staffs, line agencies officials, private sectors in Bajura the overall problems in regards with local development planning at district level identified were found which is lack of strong coordination mechanism among all development stakeholders in bottom-up planning practice.

There is another problem that government grant is inadequate for local development activities. Similarly final selection of program and final ceiling of budget from center level is the main constraint for local development planning, many respondents argued to influence this condition. This study finds that private sectors seem highly profit oriented; however they are concentrating to the development work at present days then they were before, programs supervision; monitoring and evaluation aspect is very in this district.

Analyzing the collective measures of district level government for decentralized planning the study concludes that per-planning homework has been performed in order to make the planning bottom up and to involved development stakeholders in local level planning process of district. DDC has been given vaguely defined powers to undertake planning directly coordination and settle disputes. According to the LSGA 1999, the DDC should concentrate on planning and coordination rather then directly involvement implementing the development activities.

The district based line agencies which are under the umbrella off DDC planning needs to conduct the feasibility study and proposed the plan to DDC in timely. Wonderfully some district line agencies which should have most trained staffs, have less percent of the trained staffs in total. The isolated activities of the responsible authorities for local development leads program duplication and gap in panning.

This study has found planning of NGOs is well but their implementation is not sustainable. It is because they are highly influenced by local bodies in the local development program. Generally DDC is responsible that coordinate its development partners in its planning mainstream. In either mode NGOs might be supportive for decentralized development. However most of the programs launched by NGOs and line agencies are duplication. This study has also identified that less percent of NGOs are involved in line agencies plan implementation.

The budget once relished is also reduced time to time a fiscal year. The financial accountability remains questionable in the case of user's committee and service providers. The project implemented by local is service provider is submitted to users committee between the agreement of users' committee head treasurer, technician and local service providers which causes the financial mismanagement.

In this context present study has given some recommendation for local level planning especially for district development. For sustainable development and strengthen the local governance, local election for the government should be necessary. If it is not possible, then there should be appointment of the one committee from representatives of all the political parties, civil society and different organization who are concerning to the local governance for wellbeing the overall development of district.

The DDC should be fully authorized to select its plan and allocate the budget finally. Subjective resources should be provided to local bodies in order that they could implement the local development program directly. Ilaka level plan formulation should be strong and effective. Private sector should be integrated DDC umbrella in plan formulation. DCC should be strong mechanism coordination, monitoring and evaluation the district level committee to check their work performance. Weak financial management at local level cases the whole planning process fail. Thus the DDC should be select the most transparent agencies and make provision to reward them.

TABLE OF CONTENTS

Chapter Title	Page No
Acknowledgements	i
Abstract	ii
Table of Contexts	V
List of Tables	Х
List of Figures	Х
Abbreviations/Acronyms	xi
CHAPTER – I INTRODUCTION	
1.1.1 Background of the Study	1
1.1.2 Participatory Planning Process in Nepal	3
1.1 Statement of Problem	4
1.2 Objectives of the Study	5
1.3 Significance of the Study	5
1.4 Limitation of the Study	6
1.5 Organization of the Study	6
CHAPTER –II LITERATURE REVIEW	7
CHAPTER –III RESEARCH METHODOLOGY	
3.1 Research Design	27
3.2 Rational of the Study Area	27
3.3 Sampling Procedures	27
3.4 Nature of Data Sources	27
3.5 Data Collection Tools and Techniques	28
3.5.1 Primary Data Collection	28

	3.5.1.1 Questionnaire Survey	28
	3.5.1.2 Key Informant Interviews	28
	3.5.1.3 Observation	28
	3.5.1.4 Focus Group Discussion	28
	3.5.2 Secondary Data Collection	28
3	.6 Data processing, Classification and Tabulation	28
3	.7 Data Presentation and Analysis	29

CHAPTER –IV LOCAL DEVELOPMENT PLANNING PROCESS IN NEPAL

4.1 History of Local Governance in Nepal	
4.2 Local Self-Governance: An Introduction	32
4.2.1 Local Self- Governance Act (LSGA)-1999	33
4.3.2 Main Features of the Act	34
4.2.3 Institutional Framework	37
4.2.4 Local Development Planning Under the LSGA 1999	37
4.3 Local Development Planning in Nepal on Periodic Plan	39

CHAPTER- V DESCRIPTION OF THE STUDY AREA

5.1 Physical Environment of Bajura District	
5.1.1 District Overview	46
5.1.2 Geographical Location	46
5.1.3 Topography	46
5.1.4 Geographic Setting	47
5.1.5 Land use Pattern	47

	5.1.6 The Climate	49
	5.1.7 Water Resources	49
	5.1.8 Forest Resources	49
	5.1.9 Political Division	49
5	.2 Demographic Condition	50
	5.2.1 Population Growth	50
	5.2.2 Population Composition	51
	5.2.3 Migration Rate	51
5	.3 Social Conditions	52
	5.3.1 Caste and Social Groups	52
	5.3.2 Religion and Language	53
	5.3.3 Lifestyle	55
	5.3.4 Food Habit	55
	5.3.5 Education	55
	5.3.6 Health Condition	56
5	.4 Infrastructure Condition	56
	5.4.1 Transportation	56
	5.4.2 Communication	56
	5.4.3 Banking Facility	57
	5.4.3 Electricity	57
	5.4.4 Irrigation	57
	5.4.5 Drinking Water	57
5	.5 Economic Status	58
	5.5.1 Income	58
	5.5.2 Agriculture	58
	5.5.3 Livestock	59
	5.5.4 Tourism and Commerce	59
	5.5.5 Medical Herbs	59

CHAPTER – VII LOCAL DEVELOPMENT PLANNIG PROCESS AND STRATEGIES

6.1 Local Development Planning Formulation	60
6.1.1 Planning at VDC Level	60
6.1.2 Planning at DDC Level	62
6.1.3 Steps of Participatory Development Plan	65
6.2 Role and Involvement of Stakeholders at LDP of Bajura	67
6.2.1 Role of Line Agencies in Local Development Plan	67
6.2.2 Role of National and Local NGOs in LDP of Bajura	67
6.2.3 Role of Donor Agencies in Local Development Planning	69
6.3 Coordination Mechanism at LDP Process in Bajura District	
6.3.1 Line Agencies in DDC Umbrella	70
6.3.2 Linkage between NGOs and Line Agencies	71
6.3.3 Linkage between Line Agencies and DDC	72
6.3.4 Coordination of Private Sector with and Line Agencies NGOs	72
6.3.5 Coordination Mechanism among Different Agencies and DDC	74
6.3.6 Coordination Mechanism in Project Implementation	74
6.4 Financial Arrangement Local Development Plan and Programs	75

CHAPTER-VII PROBLEMS AND CHALLENGES OF LOCAL DEVELOPMENT PLANNING PROCESS

7.1 Problems of Local Development Planning	
7.1.1 Problem in Plan Formulation	76
7.1.2 Problem in Project Implementation	77
7.1.3 Problem in Monitoring and Evaluation	77
7.2 Challenge of Local Development Panning	78
7.2.1 Challenge in Planning	78

7	2.2.2 Challenge in Project Implementation	79
7.3	Difficulties in Financial Arrangement	79

CHAPTER-VIII CONCLUSIONS AND RECOMMENDATIONS

8.1 Conclusions	81
8.2 Recommendations	83

REFERENCES

ANNEXES

- Annexes II Questionnaire
- Annexes III Line Agencies and Local and National level NGOs Involvement in DDC plan
- Annexes IV Political Map of Bajura District

LIST OF TABULES

Table no. Title		Page No.
Table no.5.1	Land used Pattern in Bajura District (in hectors)	48
Table no.5.2	The Political Division of Bajura District	50
Table no.5.3:	Population Status of Bajura District.	50
Table no.5.4:	Population by Caste/ Ethnic Group in Bajura District	52
Table no.5.5:	Populations by Religion in Bajura District	53
Table no.5.6:	Populations by Language in Bajura District	54
Table no.5.7:	Health Condition in Bajura District	56
Table no.5.8:	Transportation in Bajura District	56
Table no.5.9:	Postal services Facility in Bajura District	57
Table no.6.1:	Coordination with LBs in planning	68
Table no.6.2:	Project Implementation Different Agencies of Line A	gency 73

LIST OF FIGURES

Figures no	o. Title	Page No
Fig. no.2.1	Institutional Structure of Decentralization Planning & Imple Nepal	mentation in 15
Fig. no 4.1	Bottom up Process of District Plan (Participatory Planning Approach)	38
Fig. no. 5.1	Geographical Division of Bajura District	47
Fig. no. 5.2	Lands used Pattern of Bajura District	48
Fig. no. 5.3	Population by Age group in Bajura District	51
Fig. no. 6.1	Formal Planning Procedures at the VDC Level	61
Fig. no. 6.2	Formal Planning Producers/Structures as the District level	64
Fig. no. 6.3	Schematic Presentation of Proposed DLA for DLD	70
Fig. no.6.4	Responses of other Line Agencies about Coordination with Private Sector	73

ABBREVIATIONS/ACRONYMS

ADB	Asian Development Bank
ADDCN	Association of District Development Committee of Nepal
BCCI	Bajura Chamber of Commerce and Industries
CBO	Community Base Organization
CBS	Central Bureau of Statistics
CDO	Chief District Office
COs	Community Organizations
DADO	District Agricultural Development Office
DC	District Council
DCIO	District Cottage and Industry Office
DDC	District Development Committee
DFID	Department for International Development
DFO	District Forestry Office
DIMC	Decentralization Implementation and Monitoring Committee
DLGSP	Decentralized Local Governance Support Program
DLLA	District Level Live Agencies
DPWO	District Public Works Office
Et al	(et all), and other people
HDP	Human Development Programs
Ibid.	(<i>ibidem</i>), in the same place
IMF	International Monetary Found
INGO	International Non Government Organization
IRDP	Integrated Rural Development Program
GIFT	Generating Income for Foster Transformation
HRC	Human Development Centre
LDO	Local Development Officer
DDP	District development Plan
LDP	Local Development Plan
LDTP	Local Development Training Programs
LG	Local Governments
LGB	Local Government Bodies
IGD	Institute of Governance and Development
ISRC	Intensive Study & Research Center

LGFC	Local Government Finance Commission
LGI	Local Government Institutions
LGP	Local Governance Program
LSGA	Local Self- government Act
MISS	Malika Integrated Social Services
MC	Municipal Council
MLD	Ministry of Local Development
M & E	Monitoring and Evaluation
nd	No Date of Publication
NDF	Nepal Development Forum
NGO	Non Government Organization
NPC	National Planning Commission
р.	Page
PAF	Poverty Alleviation Found
PDDP	Participatory District Development Program
PEACEWIN	Participatory Effort at Children Education and Women Initiative Nepal
POLSAN	Political Science Association Nepal
I O LOI II (r onnear berenee rissoeration r tepar
pp	Pages
pp	Pages
pp RCIDP	Pages Rural Community Infrastructure Development Programme
pp RCIDP RIDP	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs
pp RCIDP RIDP RSDC	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee
pp RCIDP RIDP RSDC RDSC	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre
pp RCIDP RIDP RSDC RDSC RVWRMP	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme
pp RCIDP RIDP RSDC RDSC RVWRMP SADP	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme Small Area Development Program
pp RCIDP RIDP RSDC RDSC RVWRMP SADP SAPROS	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme Small Area Development Program
pp RCIDP RIDP RSDC RDSC RVWRMP SADP SAPROS TVDP	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme Small Area Development Program Support Activities for Poor Producer of Nepal Tribhuvan Village Development Program
pp RCIDP RIDP RSDC RDSC RVWRMP SADP SAPROS TVDP UNDP	PagesRural Community Infrastructure Development ProgrammeRural Infrastructure Development ProgramsRural Sector Development CommitteeRural Development Service CentreRural Village Water Resources Management ProgrammeSmall Area Development ProgramSupport Activities for Poor Producer of NepalTribhuvan Village Development ProgramUnited Nation Development Program
pp RCIDP RIDP RSDC RSDC RVWRMP SADP SADP SAPROS TVDP UNDP UNDP UNICEF	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme Small Area Development Program Support Activities for Poor Producer of Nepal Tribhuvan Village Development Program United Nation Development Program
pp RCIDP RIDP RSDC RDSC RVWRMP SADP SAPROS TVDP UNDP UNDP UNICEF VC	Pages Rural Community Infrastructure Development Programme Rural Infrastructure Development Programs Rural Sector Development Committee Rural Development Service Centre Rural Village Water Resources Management Programme Small Area Development Program Support Activities for Poor Producer of Nepal Tribhuvan Village Development Program United Nation Development Program United Nations Children's Fund
pp RCIDP RIDP RSDC RSDC RVWRMP SADP SAPROS TVDP UNDP UNICEF VC VDC	PagesRural Community Infrastructure Development ProgrammeRural Infrastructure Development ProgramsRural Sector Development CommitteeRural Development Service CentreRural Village Water Resources Management ProgrammeSmall Area Development ProgramSupport Activities for Poor Producer of NepalTribhuvan Village Development ProgramUnited Nation Development ProgramVillage CouncilVillage development Committee
ppRCIDPRIDPRSDCRDSCRVWRMPSADPSAPROSTVDPUNDPUNICEFVCVDCVDRC	PagesRural Community Infrastructure Development ProgrammeRural Community Infrastructure Development ProgramsRural Infrastructure Development ProgramsRural Sector Development CommitteeRural Development Service CentreRural Village Water Resources Management ProgrammeSmall Area Development ProgramSupport Activities for Poor Producer of NepalTribhuvan Village Development ProgramUnited Nation Development ProgramUnited Nations Children's FundVillage CouncilVillage development CommitteeVillage Development Resources Center
ppRCIDPRIDPRSDCRDSCRVWRMPSADPSAPROSTVDPUNDPUNICEFVCVDCVDRCWC	PagesRural Community Infrastructure Development ProgrammeRural Infrastructure Development ProgramsRural Sector Development CommitteeRural Development Service CentreRural Village Water Resources Management ProgrammeSmall Area Development ProgramSupport Activities for Poor Producer of NepalTribhuvan Village Development ProgramUnited Nation Development ProgramVillage CouncilVillage development CommitteeVillage development Resources CenterWard Committee