

SECURITIES LAWS AND INVESTORS' PROTECTION IN NEPAL

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RECOMMENDATION

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DECLARATION

I hereby declare that this thesis entitled "Securities Laws and Investors' Protection in Nepal" submitted to the Office of Dean, Faculty of Management, Tribhuvan University, is my original work done in the partial fulfillment of requirements for the degree of Masters of Business Studies (MBS). This report is conducted under strict supervision and guidance of Dr. Geeta Pradhan and Rishi Raj Gautam.

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ABBREVIATIONS

AGM	Annual General Meeting
AMEX	American Stock Exchange
CRO	Company Registrar's Office
CCMC	Central Coordination and Monitoring Committee
FDI	Foreign Direct Investment
IPO	Initial Public Offering
NASDAQ	National Association of Securities Dealers Automated Quotation
NEFISCO	Nepal Finance Company
NEPSE	Nepal Stock Exchange
NIDC	Nepal Industrial Development Corporation
NMB	Nepal Merchant Bank
NYSE	New York Stock Exchange
OCR	Office of Company Registrar
OTC	Over-The-Counter
SAN	Shareholders Association of Nepal
SEA	Security Exchange Act
SEBI	Security Board of India
SEBO	Securities Board
SEBO/N	Securities Board Nepal
SEC	Securities Exchange Center
SMC	Securities Marketing Centre
VIP	Vigilant, Intelligent and Professional